

GEORGE O. SAILE & ASSOCIATES 28 DAVIS AVENUE POUGHKEEPSIE, NY 12603



September 1, 2004

To: Mail Stop Application Number

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

From: Stephen B. Ackerman

Subject:

Serial No.:

10/727,201

Filing Date: 12/03/03

Inventor:

J. M. Kang, et al

Title:

Method of Fabricating Optical Waveguide Devices with Smooth

and Flat Dielectric Interfaces

REQUEST TO CORRECT FILING RECEIPT

Dear Sir:

On the copy of the enclosed Filing Receipt, there is an error in the Assignment for Publixhed Patent Application field. The application is assigned to two companies. The second company was omitted in error. The "Assignment for Published Patent Application" field should read as follows:

Agency for Science, Technology, and Research; Photonics Concepts Pte. Ltd.

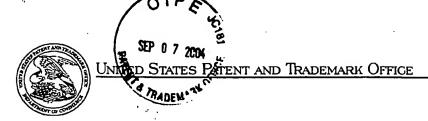
Please make the necessary change to correct the error on the Filing Receipt. A copy of the filed Recordation Cover Sheet and Assignment are enclosed for clarification.

If there are any questions, please contact the undersigned attorney at (845) 452-5863. Thank you for your attention to this matter.

Respectfully Submitted,

Stephen B. Ackerman, Reg. No. 37,761

MAR. 1 9 2004



UNITED STATES DEPARTMENT OF COMMERCE United States Putent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/727,201	12/03/2003	2874	1608	IME02-021	11	57	5

CONFIRMATION NO. 5145

STEPHEN B. ACKERMAN **28 DAVIS AVENUE** POUGHKEEPSIE, NY 12603

FILING RECEIPT *OC000000012109694*

Date Mailed: 03/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joon Mo Kang, Daejeon, KOREA, REPUBLIC OF: My The Doan, Singapore, SINGAPORE; Desmond R. Lim, Singapore, SINGAPORE;

Assignment For Published Patent Application

Agency For Science, Technology and Research;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/431,340 12/06/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: 06/24/2004

Non-Publication Request: No

Early Publication Request: No

Title

Method of fabricating optical waveguide devices with smooth and flat dielectric interfaces

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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FORM PTO~1595 OIPE RECORDATION	FORM COVER SHEET U.S. DEPT. OF COMMERCE Patent and Trademark
Office /	Patent and Trademark
IME02-021	NTS ONLY
To the Honorable commissioner of Patents and Trademarks: Please	e record the attached original documents or copy thereof.
1. Name of conveying Lasty (ies):	2. Name and address of receiving party(ies):
JOON MO KANG, MY THE DOAN, DESMOND R. LIM	Name: Agency For Science, Technology And Research Internal Address:
Additional name(s) or conveying party(ies) attached? Yes X	Street Address:
O Nichora of control	
3. Nature of conveyance	11 Science Park Road #01-01/03
X Assignment Merger	The Alpha,
	Singapore Science Park Ii, Singapore 117684
Security Agreement Change of Name	Singapore 117004
other	·
Execution Date: <u>11/27/03</u>	Additional name(s) & address(es) attached? X Yes
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application A. Patent Application No(s)	on, the execution date of the application is: 11/27/03 B. Patent No.(s)
Additional numbers attache	d? Yes X No
5. Name and address of party to whom correspondence	6. Total no. of applications and patents involved:: 1
concerning document should be mailed:	
Name: GEORGE O. SAILE	
Internal Address:	7. Total fee (37 CFR 3.41): \$ 40.00
	Enclosed
Street Address: 28 DAVIS AVENUE	X Authorized to be charged to deposit acct.
City: POUGHKEEPSIE State: NY ZIP: 12603	8 .Deposit account number: 19-0033 (Attach duplicate copy of this page if paying by deposit account)
9. Statement and signature. To the best of my knowledge and belief the foregoing information of the original document	HIS SPACE
STEPHEN B. ACKERMAN	12/2/12
Name of Person Signing	Signature Date

ADDITIONAL ASSIGNEE:

Photonics Concepts Pte. Ltd. 21 Science Park Road #03-17 The Aquarius Singapore Science Park II Singapore 117628

SEP 0 7 2004	
IMEO2-08 Transaction	For x U.S. and/or x Foreign Rights
- AMBERT	For X Application or U.S. Patent
	By X Inventor(s) or Present Owner
ASSIGNMENT	OF INVENTION
In consideration of the payment by ASSIGNEI receipt of which is hereby acknowledged, and	to ASSIGNOR of the sum of One Dollar (\$1.00), the for other good and valuable consideration,
ASSIGNOR:	
Type or print name of ASSIGNOR #1503 Daewoo Topia Bldg, 1083 Address Dansan-Dong, Seo-Fu,	MY THE DOAN Type or print name of ASSIGNOR 38 Dover Rise Tower A # 05-04 SINGAPORE 138684 Address
Doejean 302-809, KOREA	Address
KOREA Nationality	CANADA Nationality
DESMOND R. LIM Type or print name of ASSIGNOR 16 WILMONAR AVE Address SINGAPORE SESOY & SINGAPORE Nationality	re
ASSIGNEE:	
Agency For Science, Technology and Resea 20 Biopolis Way #07-01 Centros Singapore 138668	Photonics Concepts Pte Ltd 21 Science Park Road, #03-17 The Aquarius, Singapore Science Park II, Singapore 117628
and the successors, assigns and legal represe	ntatives of the ASSIGNEE
(complete of x) the entire right, title and interest	ne of the following)
	nterest for the United States and its territorial possessions in rights are also to be assigned)
in and to any and all improvements which are o	disclosed in the Invention entitled:

Method Of Fabricating Optical Waveguide Devices With Smooth And Flat Dielectric Interfaces



and which is found in

(d) U.S. patent no. [also check (e) if foreign application(s) is also being assigned) (e) X and any legal equivalent thereof in a foreign country, including the right to clarm price and, in and to, all Letters Patent to be obtained for said invention by the above application of invention, division, renewal, or substitute, thereof, and as to letters patent any re-lessue or referenced. ASSIGNOR hereby coverents that no easignment, sele, agreement or encumbrance has the made or entered into which would conflict with this assignment. ASSIGNOR further coverents that ASSIGNEE will, upon its request, be provided prompertinent facts and documents relating to said invention and said Letters Patent and legal equivalent facts and documents relating to said invention and said Letters Patent and explicit or proceeding related thereto and will promptly execute and desire to ASSIGNEE or its legal reprint and is papers, instruments or affidavits required to apply for, obtain, maintain, teaue and explication, said invention and said Letters Patent and said equivalents thereof which may be or destrable to carry out the purposes thereof. IN WITNESS WHEREOF, If We have signed this Jean Mo The Special Control of the application of the applicat	(a) x	U.S. patent application executed on even d	tale herewith	
(c) U.S. application serial no	(b)	U.S. patent application executed on		
(d) U.S. patent no. (also check (e) if foreign application(s) is also being assigned) (e) It and any legal equivalent thereof in a foreign country, including the right to claim price and, in and to, all Letters Patent to be obtained for said invention by the above application, division, renewal, or substitute, thereof, and as to letters patent any re-issue or reference. ASSIGNOR hereby covenants that no essignment, asis, agreement or encumbrance has it be made or entered into which would conflict with this assignment. ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly be known and accessible to ASSIGNOR and will bettire as to the same in any interference or proceeding related threate and will promptly execute and deliver to ASSIGNEE or its legal report proceeding related threate and will promptly execute and deliver to ASSIGNEE or its legal report any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and exapplication, said invention and said Letters Patent and said equivalents thereof which may be or destrable to carry out the purposes thereof. IN WITNESS WHEREOF, I // We have signed this Jeon Mo Signature of ASSIGNOR Title No extraction of the above person aethoric behalf of ASSIGNOR. Title Title No extraction or topication is accessor, if the analyment is contributed to be foreigned that it will not be a same as the date of the analyment is contributed to a population of the analyment is contributed to a standard or the accessor of the above person aethoric behalf of ASSIGNOR.	(c)	7		
(e) X and any logal equivalent thereof in a foreign country, including the right to claim price and, in and to, all Letters Patent to be obtained for said invention by the above application, in and to, all Letters Patent to be obtained for said invention by the above application, division, renewal, or substitute, thereof, and as to letters patent any re-lesue or refer thereof. ASSIGNOR hereby covenants that no easignment, sale, agreement or encumbrance has the made or entered into which would conflict with this assignment. ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided prompertinent facts and documents relating to said invention and said Letters Patent and legal equivalent facts and documents relating to said invention and said Letters Patent and legal equivalent all papers, instruments or afficiavits required to apply for, obtain, maintain, issue and eapplication, said invention and said Letters Patent and said equivalents thereof which may be or destrable to carry out the purposes thereof. IN WITNESS WHEREOF, I // We have signed this Jean Mo Signification of man (a) was chacked at the following information. The or price the same of the above person authority behalf of ASSIGNOR. Title No exhausting statistics or togetherics is account, if the analytics is patiently the first behalf of ASSIGNOR.	(d)	U.S. patent no.	issued	
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